

Nos. 18-15499, 18-15502, 18-15503, 18-16376

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United States Court of Appeals  
for the Ninth Circuit

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COUNTY OF SAN MATEO, Plaintiff-Appellee, v. CHEVRON CORPORATION, <i>et al.</i> , Defendants-Appellants	No. 18-15499 No. 17-cv-4929-VC N.D. Cal., San Francisco Hon. Vince Chhabria
CITY OF IMPERIAL BEACH, Plaintiff-Appellee, v. CHEVRON CORPORATION, <i>et al.</i> , Defendants-Appellants	No. 18-15502 No. 17-cv-4934-VC N.D. Cal., San Francisco Hon. Vince Chhabria
COUNTY OF MARIN, Plaintiff-Appellee, v. CHEVRON CORPORATION, <i>et al.</i> , Defendants-Appellants	No. 18-15503 No. 17-cv-4935-VC N.D. Cal., San Francisco Hon. Vince Chhabria
COUNTY OF SANTA CRUZ, <i>et al.</i> , Plaintiff-Appellees, v. CHEVRON CORPORATION, <i>et al.</i> , Defendants-Appellants	No. 18-16376 Nos. 18-cv-00450-VC; 18-cv-00458-VC; 18-cv-00732-VC Hon. Vince Chhabria

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**BRIEF OF AMICUS CURIAE MARIO J. MOLINA, MICHAEL  
OPPENHEIMER, SUSANNE C. MOSER, DONALD J.  
WUEBBLES, GARY GRIGGS, PETER C. FRUMHOFF AND  
KRISTINA DAHL IN SUPPORT OF APPELLEES AND  
AFFIRMANCE**

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**CORPORATE DISCLOSURE STATEMENT**

Pursuant to Fed. R. App. P. 26.1, amicus curiae Mario J. Molina, Michael Oppenheimer, Susanne C. Moser, Donald J. Wuebbles, Gary Griggs, Peter C. Frumhoff, and Kristina Dahl certify that they are individuals, not corporations.

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**AMICUS CURIAE’S IDENTITY, INTEREST AND  
AUTHORITY TO FILE**

*Amicus curiae*, as scientists and scholars, have devoted much of their professional life to study, writing, and teaching one or more aspects of climate science, including sea level rise and its impacts on coastal communities.

**Mario J. Molina** received the 1995 Nobel Prize in Chemistry (with F. Sherwood Rowland and Paul Crutzen) for his “work on atmospheric chemistry, particularly concerning the formation and decomposition of ozone.” He currently serves as a Professor at the University of California, San Diego (UCSD), with a joint appointment in the Department of Chemistry and Biochemistry and the Scripps Institution of Oceanography.

**Michael Oppenheimer** is the Albert G. Milbank Professor of Geosciences and International Affairs at Princeton University. He is a coordinating lead author on the Intergovernmental Panel on Climate Change’s Special Report on Oceans, Cryosphere and Climate Change and is coeditor-in-chief of the journal *Climatic Change*. He is also the Director of the Center for Policy Research on Energy and the Environment at Princeton’s Woodrow Wilson

School and the Kravis Senior Contributing Scientist at the Environmental Defense Fund. **Susanne C. Moser** is on the Research Faculty in the Environmental Studies Department of Antioch University New England. With more than 120 publications, Dr. Moser is an expert on adaptation to sea level rise. She has advised states and local communities (including in California) on how to advance coastal adaptation. **Donald J. Wuebbles** is The Harry E. Preble Professor of Atmospheric Sciences in the School of Earth, Society, and Environment, Department of Atmospheric Sciences at the University of Illinois at Urbana-Champaign. Dr. Wuebbles is an expert in atmospheric physics and chemistry, with over 500 scientific publications related to the Earth's climate, air quality, and the stratospheric ozone layer. He was a co-author on the 2013 Intergovernmental Panel on Climate Change, as well as the 2014, 2017, and 2018 U.S. National Climate Assessments. **Gary Griggs** is Professor of Earth & Planetary Sciences at the University of California Santa Cruz, where he also served as Director of the Institute of Marine Sciences for 26 years. He is an expert on sea level rise, publishing

over 180 articles in scientific journals and book chapters, and has written 11 books. He was a member of the National Academy of Sciences committee that prepared the report: Sea-Level Rise for the Coasts of California, Oregon and Washington (2012). **Peter C. Frumhoff** is Director of Science and Policy and Chief Climate Scientist at the Union of Concerned Scientists (UCS). A global change ecologist, Dr. Frumhoff has published widely at the nexus of climate science and policy, including on the climate responsibilities of fossil fuel companies and the attribution of extreme events to climate change, researchers. He currently serves on the US National Academy of Sciences' Board on Atmospheric Sciences and Climate. He was lead author of the Fourth Assessment Report of the Intergovernmental Panel on Climate Change. **Kristina Dahl** is a Senior Climate Scientist in the Climate and Energy program at the Union of Concerned Scientists. Dr. Dahl's research focuses on the impact of climate change, particularly sea level rise, on people and places. She was the lead analyst and co-lead author on UCS's report that quantified the risks of sea level rise for communities and real

estate in the contiguous United States and has performed detailed GIS analyses showing the projected extent of sea level rise and chronic flooding along the U.S. coasts.

As courts address cases involving the damage to coastal communities caused by global warming and now inevitable sea level rise, we feel it is essential for judicial decisions to be based on an understanding of the relevant science and the unavoidable adaptation expenses these communities are facing. We submit this *amicus* brief in order to assist the Court in that regard.

All parties have consented to the filing of this brief. No party's counsel authored the brief in whole or in part, no party or party's counsel contributed money that was intended to fund preparing or submitting the brief, and no person other than counsel for amici contributed money that was intended to fund preparing or submitting the brief.

## **SUMMARY OF ARGUMENT**

There is broad consensus within the community of climate scientists that the impacts of global warming, including rising seas, are accelerating. Carbon dioxide (CO<sub>2</sub>) from combustion of fossil fuels—of which the Appellants' products are a primary source—are the largest single contributor to this warming. Global warming has produced a well-documented rise in the world's sea levels through thermal expansion of ocean water, the melting of mountain glaciers, and losses of ice from the Greenland and Antarctic ice sheets.

The coastal communities that filed these lawsuits are facing the daunting and expensive challenge of protecting their citizens and their infrastructure – roads, bridges, airports, rail lines, port facilities, sewage treatments systems, drinking water supply systems, storm drainage systems, and public utilities—from these rising sea levels now and for decades to come.

Even if there were huge reductions in fossil fuel use and reduced CO<sub>2</sub> emissions, global mean temperatures and sea levels will continue to rise because a substantial fraction of these

greenhouse gases will persist in the atmosphere beyond the end of this century.

Despite the recent United Nations Paris Agreement, by which 195 governments agreed to reduce global emissions in order to keep global warming from progressing to dangerous levels, CO<sub>2</sub> emissions grew to record levels in 2017 (1.6% increase) and were projected to grow again in 2018 (between 1.8% to 3.7% projected increase).<sup>1</sup> Continued production, marketing, and combustion of fossil fuels on this high emission path would probably result in at least 2 feet of mean global sea-level rise by the end of the century<sup>2</sup>, and there is a small but very real possibility that collapse of parts of the Antarctic ice sheet could result in 10 feet of sea level rise in the San Francisco Bay Area by the year 2100.<sup>3</sup> Even the most aggressive emissions reduction scenarios contemplated by any recognized international or national authority would result in at

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<sup>1</sup> Le Quéré, C., et al., “Global Carbon Budget 2018”, *Earth System Science Data*, 10, 405-448 (2018).

<sup>2</sup> Sweet, W.V., et al., “Global and Regional Sea Level Rise Scenarios for the United States” (2017).

<sup>3</sup> Griggs, G, et al., “Rising Seas in California: An Update on Sea-Level Rise Science”, *California Ocean Science Trust*, <http://www.opc.ca.gov/webmaster/ftp/pdf/docs/rising-seas-in-california-an-update-on-sea-level-rise-science.pdf>

least one foot of mean global sea level rise this century<sup>4</sup>, and these scenarios are generally recognized as well beyond the reach of current policies.

These predictions mean that the types of damage already caused by coastal flooding and inundation will inevitably increase as global warming causes sea levels to rise further. This will require coastal communities to take costly remedial steps to harden infrastructure so they can either withstand such flooding or people and communities will have to retreat from coastal locations.

The lawsuits filed by the plaintiff communities seek to recover from the fossil fuel companies, whose products are the primary source of the greenhouse gases causing global warming and the sea level rise that threatens them, a fair share of the cost of adapting their coastal infrastructure to these rising seas.

We detail below the scientific evidence showing that fossil fuels are a primary cause of the global warming and the sea level rise affecting the coastal communities who have brought suit in

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<sup>4</sup> Sweet, W.V., et al., “Global and Regional Sea Level Rise Scenarios for the United States”, *Climate Science Special Report* (2017).



their state courts. We also show there is evidence establishing the relative contribution of each individual producer of fossil fuels to the total greenhouse gases in the atmosphere, including the Appellants named herein, which would provide a reasonable basis for allocating the cost of adaptation.

## ARGUMENT

### **I. Advances In Climate Science Have Shown That During The Period of Human Civilization Stable Levels of Atmospheric Carbon Dioxide and Relatively Stable Global Temperatures And Sea Level Permitted Civilization To Flourish.**

The foundation of modern climate science can be traced back to the 19<sup>th</sup> century. In 1824, Joseph Fourier proposed that Earth's atmosphere acts to raise the planet's temperature. Fourier wondered how Earth could be so warm as it was so far from the sun. Fourier knew that energy from the sun was reflecting off Earth and escaping back to space, and he hypothesized that the atmosphere must capture some of that radiation, otherwise the planet would be significantly cooler. Fourier was the first to describe what would become known as "the greenhouse effect".<sup>5</sup>

In 1856, Eunice Foote was the first person to demonstrate experimentally that the presence of CO<sub>2</sub> in the atmosphere causes the sun to heat the air to a higher temperature compared to atmosphere without CO<sub>2</sub>.<sup>6</sup> Soon after, in 1861, John Tyndall

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<sup>5</sup> Fourier, J., "General Remarks on the Temperature of the Earth and Outer Space", *American Journal of Science*, 32, 1-20 (1824), Translation by Ebeneser Burgess.

<sup>6</sup> Foote, E. (1856). Circumstances Affecting the Heat of the Sun's Rays, *The American Journal of Science and Arts*, 46, 383-384.

expanded on Foote's discovery by studying the amount of infrared energy absorbed by different gas molecules, including CO<sub>2</sub>.<sup>7</sup> In 1896, Svante Arrhenius used principles of physical chemistry to calculate estimates of the extent to which increases in atmospheric CO<sub>2</sub> would increase Earth's surface temperature through the greenhouse effect. Arrhenius calculated that a doubling of CO<sub>2</sub> in the atmosphere would increase surface temperatures of the Earth by 4 degrees Celsius (4°C), which remains within the range of today's state-of-the-art climate model predictions.<sup>8,9</sup>

The greenhouse effect is an atmospheric process that warms Earth's surface. The sun provides energy in the form of visible light and ultraviolet radiation. Though some of that energy is reflected back to space (by snow, clouds, etc.), most is absorbed by Earth's surface. The planet's surface then emits infrared radiation back toward space. Greenhouse gases in the atmosphere,

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<sup>7</sup> Tyndall, J. (1861). On the absorption and radiation of heat by gases and vapours, and on the physical connexion of radiation, absorption, and conduction, *Philosophical Magazine*, 22, 169-94.

<sup>8</sup> Arrhenius, S. (1896). On the Influence of Carbonic Acid in the Air Upon the Temperature of the Ground. *Philosophical Magazine*, 41, 237-76.

<sup>9</sup> Stocker., et al. (2013). Technical Summary, in *Climate Change 2013: The Physical Science Basis*.

such as CO<sub>2</sub>, absorb this emitted infrared radiation; this energy is then re-emitted in all directions in the form of infrared radiation, roughly half upwards towards space and half back down to Earth.

Carbon dioxide is the most important greenhouse gas due to its potency, longevity, and abundance in the atmosphere. Water vapor is the most abundant greenhouse gas and plays an important role in regulating Earth's temperature. The amount of water vapor in the atmosphere is modulated by air temperature; warmer conditions cause liquid water to evaporate, and warm air can hold more water vapor than cold air. Rising CO<sub>2</sub> leads to an increase in temperature, which in turn leads to increased water vapor in the atmosphere. This feedback loop amplifies the warming effect CO<sub>2</sub> has on the planet.<sup>10</sup> Without CO<sub>2</sub>, water vapor, and other greenhouse gases in the atmosphere, the mean surface temperature of Earth would be 33°C (60°F) cooler than it currently is.<sup>11,12</sup>

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<sup>10</sup> Solomon, S., et al., "Contributions of Stratospheric Water Vapor to Decadal Changes in the Rate of Global Warming", *Science*, 327, 1219-1223 (2010).

<sup>11</sup> Schneider, S., "The Greenhouse Effect: Science and Policy", *Science*, 243, 771-81 (1989).

<sup>12</sup> Collins, M., et al., Chap. 12: "Long-Term Climate Change: Projections, Commitments and Irreversibility", *Climate Change 2013: The Physical Science Basis* (2013).

Earth's history is punctuated by naturally driven climate change events. Large, continental ice sheets in the northern hemisphere have advanced and retreated many times during the last 2.6 million years; periods with large ice sheets are called *glacial periods* or *ice ages*, and those without are known as *interglacial periods*. This pattern of climate change was driven primarily by changes in incoming solar radiation due to variations in Earth's orbit. For the last eight hundred thousand years, glacial periods have lasted around 100,000 years and have been separated by relatively warm interglacial periods that lasted between 10,000 to 30,000 years. The most recent glacial period occurred between 11,500 and 116,000 years ago. Since then, Earth has been in an interglacial period called the Holocene Epoch.<sup>13</sup>

At the end of the last glacial period (during a 12,000-year span beginning around 20,000 years ago), global mean sea level rose approximately 400–450 feet at an average rate of 0.4 inches per year.<sup>14</sup> However, this deglaciation was punctuated by episodes

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<sup>13</sup> Masson-Delmotte, V., et al., Chap. 5: "Information from Paleoclimate Archives", *Climate Change 2013: The Physical Science Basis* (2013).

<sup>14</sup> Masson-Delmotte, V., et al., Chap. 5: "Information from Paleoclimate Archives", *Climate Change 2013: The Physical Science Basis* (2013).

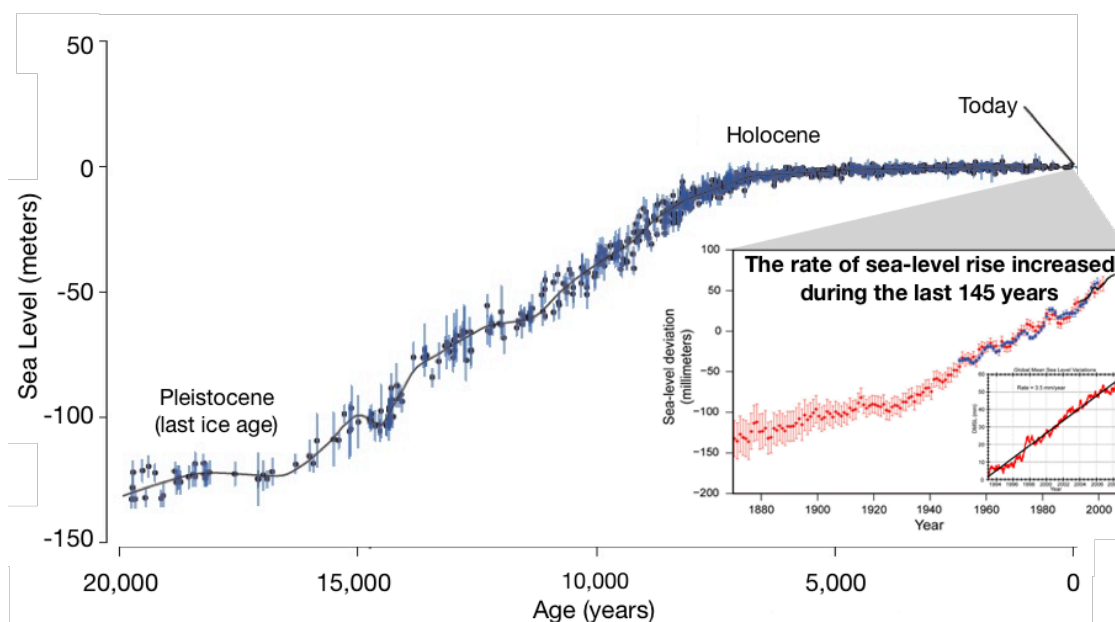
of very rapid sea level rise. For example, 14,000 years ago, sea level rose between 45–60 feet over 350 years.<sup>15</sup> Around 7,000 years ago, in the midst of the subsequent interglacial period (the Holocene), the rate of sea level rise decreased to less than 0.04 inches per year. Human civilization has flourished during the Holocene period of sea level stability and has never had to deal with rapid changes in sea level (Figure 1).<sup>16</sup>

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<sup>15</sup> Deschamps, P., et al., “Ice-Sheet Collapse and Sea-Level Rise at the Bølling Warming 14,600 Years Ago”, *Nature*, 483, 559-564 (2012).

<sup>16</sup> Masson-Delmotte, V., et al., Chap. 5: “Information from Paleoclimate Archives”, *Climate Change 2013: The Physical Science Basis* (2013).

**Figure 1. Global mean sea level over the last 20,000 years.** During the termination of the last ice age, massive continental ice loss led to 120–135 m (400–450 feet) of sea level rise. Around 7,000 years ago, the rate of sea level rise dropped to a “pre-industrial” rate of <1 mm per year. Figure inset depicts estimated sea level change (mm) since 1870. Global mean sea level has been rising at an average rate of 1.7 mm per year over the past 100 years. Since 1993, the rate increased to about 3.5 mm per year. Red: sea level since 1870. Blue: tide-gauge data. Black: satellite observations. Figures modified from: Clark, P., et al. (2016). Consequences of twenty-first-century policy for multi-millennial climate and sea level change, *Nature Climate Change*, 6, 360-369, and NOAA <https://www.ncdc.noaa.gov/monitoring-references/faq/indicators.php>.



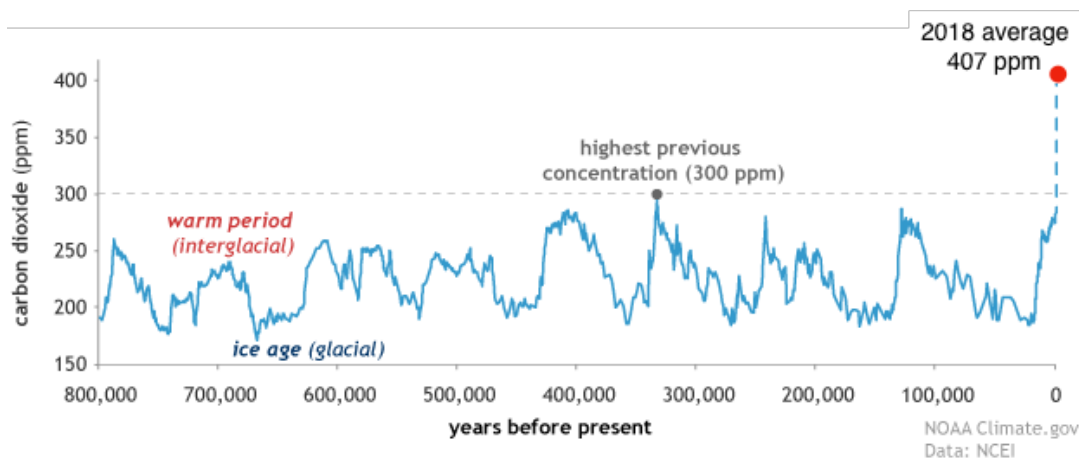
## II. With the Commencement of the Industrial Revolution, Previously Stable Atmospheric Carbon Dioxide Levels Began Increasing, Causing Rising Atmospheric and Ocean Temperatures and Sea Level Rise That Is Unprecedented In Human History

For most of human civilization, the amount of CO<sub>2</sub> in the Earth’s atmosphere remained in a stable range between 260–280 parts per million (ppm).<sup>17</sup> During the past 200 years, commencing with the Industrial Revolution (1720–1800 CE), increased

<sup>17</sup> Lourantou, A., et al., “Changes in Atmospheric CO<sub>2</sub> and Its Carbon Isotopic Ratio During the Penultimate Deglaciation”, *Quaternary Science Reviews*, 29, 1983-1992 (2010).

combustion of fossil fuels, cement production, and deforestation<sup>18</sup> have raised the average concentration of CO<sub>2</sub> in the atmosphere to greater than 407 ppm<sup>19</sup> – higher than any time in at least 800,000 years (Figure 2).<sup>20</sup> Most critically, however, more than half of all industrial emissions of CO<sub>2</sub> have occurred since 1988.<sup>21</sup>

**Figure 2. Changes in atmospheric CO<sub>2</sub> concentrations over the last 800,000 years.** Historic CO<sub>2</sub> levels are from ice core data, and current data are from the Mauna Loa Observatory. Average 2018 concentration indicated by red dot. Figure modified from NOAA.



Due primarily to the increased concentration of anthropogenic CO<sub>2</sub> from fossil fuel combustion, the mean surface temperature<sup>22</sup> of Earth has increased by 1°C (1.8°F) since the

<sup>18</sup> Le Quéré, C., et al., “Global Carbon Budget 2017”, *Earth System Science Data*, 10, 405-448 (2018).

<sup>19</sup> Le Quéré, C., et al., “Global Carbon Budget 2017”, *Earth System Science Data*, 10, 405-448 (2018).

<sup>20</sup> Masson-Delmotte, V., et al., Chap. 5: “Information from Paleoclimate Archives”, *Climate Change 2013: The Physical Science Basis* (2013).

<sup>21</sup> Frumhoff, P., et al., “The Climate Responsibilities of Industrial Carbon Producers”, *Climatic Change*, 132, 157-171 (2015).

<sup>22</sup> *Global mean surface temperature* is calculated by combining measurements from the air above land and the ocean surface.



Industrial Revolution.<sup>23,24,25</sup> One way to conceptualize the immense amount of heat that Earth is absorbing is to combine measurements of ocean, land, atmosphere, and ice heating. Based on these data, over the last two decades Earth's climate system has been absorbing the heat equivalent, in joules, of detonating four Hiroshima atomic bombs per second, or nearly 400,000 Hiroshima A-bombs per day.<sup>26,27</sup>

If there is no significant global effort to limit fossil fuel combustion and the resultant CO<sub>2</sub> emissions, by the end of the century global mean surface temperature is projected to increase by between 3.6–5.8°C above pre-industrial temperature.<sup>28</sup> The last time global mean surface temperature was as high as, or slightly

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<sup>23</sup> Hawkins, E., et al., "Estimating Changes in Global Temperature Since the Preindustrial Period", *Bulletin of the American Meteorological Society*, 98(9), 1841-1856 (2017).

<sup>24</sup> These facts were also agreed to by the defendant corporations in a tutorial before Judge William Alsup, in federal district court in the 9<sup>th</sup> circuit, in March 2018.

<sup>25</sup> IPCC, "Summary for Policymakers", *Global warming of 1.5°C* (2018).

<sup>26</sup> Church, J. A., et al., "Revisiting the Earth's Sea-Level and Energy Budgets From 1961 to 2008", *Geophysical Research Letters*, 38, L18601 (2011).

<sup>27</sup> Nuccitelli, D., et al., "Comment on Ocean Heat Content and Earth's Radiation Imbalance II, Relation to Climate Shifts", *Physics Letters A*, 376(45), 3466-3468 (2012).

<sup>28</sup> Collins, M., et al., Chap. 12: "Long-term Climate Change: Projections, Commitments and Irreversibility", *Climate Change 2013: The Physical Science Basis* (2013).

higher than, today<sup>29</sup>, sea level was 20–30 feet higher than contemporary sea level.<sup>30</sup>

Global warming contributes to sea level rise in multiple ways. The single greatest contributor to sea level rise over the last century has been *ocean thermal expansion*. As the ocean warms from climate change, seawater expands, takes up more space, and the oceans rise to accommodate this basic physical expansion. Ocean thermal expansion accounts for about 50% of the increased volume of the world's oceans in the past 100 years. The remaining sea level rise of the past century has been largely due to melting mountain glaciers (about 25%) and Antarctic and Greenland ice sheet loss (about 25%).<sup>31</sup>

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<sup>29</sup> The Last Interglacial, 130,000–115,000 years ago.

<sup>30</sup> Masson-Delmotte, V., et al., Chap. 5: “Information from Paleoclimate Archives”, *Climate Change 2013: The Physical Science Basis* (2013).

<sup>31</sup> Collins, M., et al., Chap. 12: “Long-term Climate Change: Projections, Commitments and Irreversibility”, *Climate Change 2013: The Physical Science Basis* (2013).

### **III. Even If All Carbon Dioxide Emissions Were To Cease Immediately, Sea Levels Would Continue To Rise For The Rest Of The Century Because Of The Additional Global Warming That Is Locked In By Cumulative Past Emissions**

There is a delay between rising air temperatures and sea level rise. Ocean thermal expansion and ice loss occur on timescales slower than the rate at which air temperature increases in response to increasing atmospheric CO<sub>2</sub> concentrations. It can take over a thousand years for ocean thermal expansion to equilibrate with warmer air temperatures.<sup>32</sup>

Since 1900, global mean sea level rose about 8 inches<sup>33</sup>, but it was not a steady progression. The rate of sea level rise is dramatically increasing. Since 1990, the rate of sea rise increased to about twice the rate of the last century, and the rate of sea rise is continuing to accelerate.<sup>34,35</sup> This increased sea level can

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<sup>32</sup> Levermann, A., et al., “The Multimillennial Sea-Level Commitment of Global Warming”, *Proceedings of the National Academy of Sciences*, 110(34), 13745-13750 (2013).

<sup>33</sup> Church, J., White, N., “Sea Level Rise From the Late 19<sup>th</sup> to Early 21<sup>st</sup> Century”, *Surveys in Geophysics*, 32, 4-5, 585-602 (2011).

<sup>34</sup> Nerem, R. S., et al., “Climate-Change-Driven Accelerated Sea-Level Rise Detected in the Altimeter Era”, *Proceedings of the National Academy of Sciences of the United States of America*, 115(9), 2022-2025 (2018).

<sup>35</sup> Griggs, G., et al., “Rising Seas in California: An Update on Sea-Level Rise Science”, California Ocean Science Trust (2017). <http://www.opc.ca.gov/webmaster/ftp/pdf/docs/rising-seas-in-california-an-update-on-sea-level-rise-science.pdf>

increase damages from daily tides, king tides, and extreme weather events. In superstorm Sandy, sea level rise was estimated to have inflicted an additional \$2 billion in flooding damage.<sup>36</sup> A report published in March 2018 by San Mateo County found that *“the assessed value of parcels exposed to near-term (present-day) flooding exceeds \$1 billion.”*<sup>37</sup>

Current atmospheric CO<sub>2</sub> concentrations have committed the world to significant levels of sea level rise for centuries to come. There is no feasible combination of emissions reductions, no matter how aggressive, that can prevent the now inevitable rise of seas over the next one hundred years or more. The recently published Fourth National Climate Assessment warns that:

Even if significant emissions reductions occur, many of the effects from sea level rise over this century (and particularly through mid-century) are already locked in due to historical emissions, and many communities are already dealing with the consequences. Actions to plan for and adapt to more frequent, widespread, and severe coastal flooding, such as shoreline protection and conservation of coastal ecosystems, would decrease direct losses and cascading impacts on other sectors and parts of the country.<sup>38</sup>

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<sup>36</sup> Leifert, H., “Sea Level Rise Added \$2 Billion to Sandy’s Toll in New York City”, *Eos*, 96, 16 (2015).

<sup>37</sup> Fourth National Climate Assessment (2018). Vol. I, Chap. 12: “Sea Level Rise”, <https://science2017.globalchange.gov/chapter/12>

<sup>38</sup> Fourth National Climate Assessment (2018). Vol. II, “Summary Findings”, <https://nca2018.globalchange.gov/#sf-12>

The Intergovernmental Panel on Climate Change (IPCC), a body of the United Nations, is the internationally accepted authority on climate change science. The IPCC issues global consensus scientific assessment reports every five to seven years reviewing the state of climate science. The IPCC's Fifth Assessment Report utilizes a set of future scenarios, known as Representative Concentration Pathways (RCPs) (Figure 3)<sup>39</sup> to help policy makers understand the impact of policies designed to reduce emissions.

The four RCPs describe scenarios based on different assumptions about energy consumption, energy sources, land use change, economic growth, and population. At one end of the spectrum, RCP 2.6 represents a suite of extremely aggressive reduction scenarios which require that CO<sub>2</sub> emissions worldwide plateau by 2020, just one year from now, and are reduced by 50%

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<sup>39</sup> RCPs are named for the associated radiative forcing level in watts per square meter (the difference between sunlight absorbed by Earth and energy radiated back to space) by the year 2100 relative to pre-industrial values.

by 2050.<sup>40,41</sup> At the other end, RCP 8.5 represents a future in which there is no significant global effort to limit greenhouse gas emissions. Each RCP represents a family of climate outcomes, including temperature and sea level rise.<sup>42</sup> The global climate models that rely on these RCP scenarios generally provide conservative estimates of sea level rise, i.e. *they do not account for* the possibility that changing Antarctic ice sheet dynamics could dramatically increase sea levels by the end of the century, even as the observed rate of Antarctic ice sheet loss is increasing.<sup>43,44</sup>

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<sup>40</sup> Compared to 1990 CO<sub>2</sub> emissions.

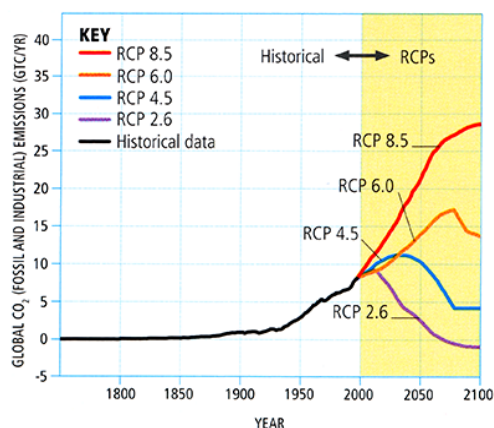
<sup>41</sup> Jones, C., et al., “Twenty-First-Century Compatible CO<sub>2</sub> Emissions and Airborne Fraction Simulated by CMIP5 Earth System Models Under Four Representative Concentration Pathways”, *Journal of Climate*, 26, 4398-4413 (2013).

<sup>42</sup> Collins, M., et al., Chap. 12: “Long-term Climate Change: Projections, Commitments and Irreversibility”, *Climate Change 2013: The Physical Science Basis (2013)*.

<sup>43</sup> DeConto, R. & Pollard, D., “Contribution of Antarctica to Past and Future Sea-Level Rise”, *Nature*, 531(7596): 591-597 (2016).

<sup>44</sup> Shepherd, A., et al., “Mass Balance of the Antarctic Ice Sheet From 1992 to 2017, *Nature*, 556, 219-222 (2018).

**Figure 3. Observed and predicted CO<sub>2</sub> emissions.** Current rate of annual carbon emissions in gigatons (black line) compared to IPCC projected scenarios. Figure source: Mann & Kump, *Direct Predictions: Understanding Climate Change*, 2<sup>nd</sup> Edition © 2015 Dorling Kindersley Limited.



Under RCP 2.6, global mean sea level is projected to rise 11–24 inches by 2100.<sup>45</sup> Under RCPs 4.5, 6.0, and 8.5, which are far more likely paths based on current policies, sea level is projected to rise 14–28 inches, 15–29 inches, and 20–39 inches, respectively, by 2100.<sup>46</sup>

A fifth sea level rise scenario, named *H++*, represents the maximum physically plausible global mean sea level rise that could result from exceedingly rapid Antarctic ice sheet loss during the latter half of this century. This scenario projects a 10-foot rise

<sup>45</sup> Relative to global mean sea level 1986–2005.

<sup>46</sup> Church, J. A., et al., Chap. 13: “Sea Level Change”, *Climate Change 2013: The Physical Science Basis* (2013).

in mean sea level in the San Francisco Bay Area.<sup>47</sup> The H++ scenario represents extreme sea level rise, the probability of which is currently unknown due to our limited understanding of the dynamics governing the magnitude and timing of Antarctic ice sheet loss.

In October of 2018 the IPCC issued a special report assessing: 1) the possibility of restricting global warming to 1.5°C above pre-industrial temperatures, and 2) what the avoided damages might be between 1.5°C and 2°C warming, the two goals adopted at the 2016 Paris Climate Summit.<sup>48,49</sup>

Capping global warming at 1.5°C would require exceptional measures, even more aggressive than those contemplated in the IPCC's RCP 2.6 scenario, which was the most aggressive emissions reduction pathway previously assessed by the group. To prevent the world from warming more than 1.5°C above pre-industrial levels, global CO<sub>2</sub> emissions would need to decline

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<sup>47</sup> Griggs, G, et al., "Rising Seas in California: An Update on Sea-Level Rise Science", *California Ocean Science Trust* (2017). <http://www.opc.ca.gov/webmaster/ftp/pdf/docs/rising-seas-in-california-an-update-on-sea-level-rise-science.pdf>

<sup>48</sup> Intergovernmental Panel on Climate Change, "Summary for Policymakers", *Global warming of 1.5°C* (2018).

<sup>49</sup> The UN Paris Agreement, <https://unfccc.int/process-and-meetings/the-paris-agreement/the-paris-agreement>



about 45% by 2030 – just 12 years from now – and reach net zero emissions globally by 2050.<sup>50</sup> Given the current trajectory of global economic development and the weak voluntary commitments of the world’s nations to curbing the problem (discussed below) communities faced with increasingly severe climate change impacts cannot rely on this level of emissions reductions being achieved. Indeed, global energy-related CO<sub>2</sub> emissions *increased* from 32.31 gigatons in 2016 to an all-time high of 32.50 gigatons in 2017.<sup>51,52</sup> And even if emissions were cut to net zero emissions globally by 2050, the seas would continue to rise over at least the next few centuries to levels that would threaten billions of dollars of property and infrastructure in California and beyond.

Local sea level may differ from global mean sea level due to a number of factors.

- a. Large ice sheets exert a gravitational pull on the nearby ocean, drawing water towards it. If that ice melts, this

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<sup>50</sup> Intergovernmental Panel on Climate Change, “Summary for Policymakers”, *Global warming of 1.5°C* (2018)..

<sup>51</sup> Le Quéré, C., et al., “Global Carbon Budget 2017”, *Earth System Science Data*, 10, 405-448 (2018).

<sup>52</sup> International Energy Agency, “Global Energy and CO<sub>2</sub> Status Report”, <https://www.iea.org/geco/emissions/>

gravitational force weakens, and the excess sea water diffuses globally, increasing sea level elsewhere.

Consequentially, the loss of Antarctic ice generally has an enhanced effect on Northern Hemisphere sea level rise, while the loss of Greenland ice generally has an enhanced effect on Southern Hemisphere sea level rise.<sup>53,54</sup>

- b. Regions near the centers of ice sheets of the last ice age may experience post-glacial rebound, which is the rise of land masses that were depressed by massive ice sheets during the last ice age. Conversely, land pushed up during the building of ice sheets in the last ice age (“the forebulge”) may now be sinking (e.g. Chesapeake Bay).<sup>55</sup>
- c. Prevailing winds can push water across oceans. For example, the Trade Winds in the Pacific blow water westward, increasing sea level in the western Pacific (e.g. the Philippines) by about 24 inches, and decreasing sea

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<sup>53</sup> Mitrovia, J. X., et al., “On the Robustness of Predictions of Sea Level Fingerprints”, *Geophysical Journal International*, 187, 729–742 (2011).

<sup>54</sup> Griggs, G, et al., “Rising Seas in California: An Update on Sea-Level Rise Science”, *California Ocean Science Trust* (2017), <http://www.opc.ca.gov/webmaster/ftp/pdf/docs/rising-seas-in-california-an-update-on-sea-level-rise-science.pdf>

<sup>55</sup> DeJong, B., et al., “Pleistocene Relative Sea Levels in the Chesapeake Bay Region and Their Implications for the Next Century”, *GSA Today*, 25(8), 4–10 (2015).

level in the eastern Pacific (e.g. northern South America).

In the long term, global wind patterns change as climate changes, geographically re-allocating mounds of sea water. Short term changes in winds, such as those associated with El Niño events, can have large effects on local sea level. During the El Niño winters of 1940–41, 1982–83, and 1997–98, San Francisco Bay sea level rose 8–12 inches for several months at a time.<sup>56</sup>

- d. Localized processes such as plate tectonics and sediment compaction can cause land masses to fall or rise.<sup>57</sup>
- e. Oil and gas extraction, as well as groundwater withdrawal can cause the continental shelf to “deflate”, raising sea level at coastal deltas (e.g. Louisiana).<sup>58,59,60</sup>

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<sup>56</sup> Griggs, G, et al., “Rising Seas in California: An Update on Sea-Level Rise Science”, *California Ocean Science Trust* (2017). <http://www.opc.ca.gov/webmaster/ftp/pdf/docs/rising-seas-in-california-an-update-on-sea-level-rise-science.pdf>

<sup>57</sup> Griggs, G, et al., “Rising Seas in California: An Update on Sea-Level Rise Science”, *California Ocean Science Trust* (2017), <http://www.opc.ca.gov/webmaster/ftp/pdf/docs/rising-seas-in-california-an-update-on-sea-level-rise-science.pdf>

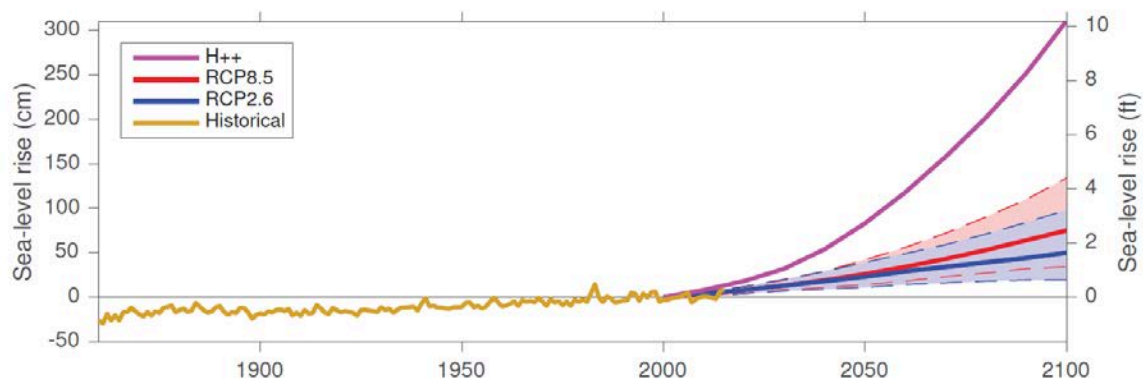
<sup>58</sup> Nienhuis, J. H., et al., “A New Subsidence Map for Coastal Louisiana”, *GSA Today*, 27 (2017).

<sup>59</sup> Griggs, G, et al., “Rising Seas in California: An Update on Sea-Level Rise Science”, *California Ocean Science Trust* (2017), <http://www.opc.ca.gov/webmaster/ftp/pdf/docs/rising-seas-in-california-an-update-on-sea-level-rise-science.pdf>

<sup>60</sup> Kopp, R. E., et al., “Probabilistic 21st and 22nd Century Sea-Level Projections at a Global Network of Tide-Gauge Sites”, *Earth’s Future*, 2, 383–406 (2014).

At the Golden Gate Bridge in San Francisco, sea level rose 9 inches between 1854 and 2016.<sup>61</sup> Under RCP 2.6, an extremely aggressive emissions reduction scenario, the San Francisco Bay is expected to rise roughly another 19 inches by 2100<sup>62</sup>, at a rate more than double that of the past 20 years (Figures 4–6).<sup>63,64,65</sup>

**Figure 4. Past and future sea level change in San Francisco.** Historical sea level in San Francisco Bay and sea level rise projections for RCP 2.6, RCP 8.5, and the H++ scenarios. The shaded areas bounded by the dashed lines represent the 5<sup>th</sup> and 95<sup>th</sup> percentiles. Figure source: Griggs, G, et al. (2017).



Under RCP 8.5, a scenario that assumes more or less unabated emissions, local sea level is most likely to rise roughly

<sup>61</sup> Fourth National Climate Assessment (2018), Vol. II, Chap. 25: “Southwest”, <https://nca2018.globalchange.gov/chapter/25/#section-kf-key-message-3>

<sup>62</sup> 19 inches is a median value and has a range of 12–29 inches.

<sup>63</sup> Relative to mean sea level between 1991–2009.

<sup>64</sup> Griggs, G, et al., “Rising Seas in California: An Update on Sea-Level Rise Science”, *California Ocean Science Trust* (2017), <http://www.opc.ca.gov/webmaster/ftp/pdf/docs/rising-seas-in-california-an-update-on-sea-level-rise-science.pdf>

<sup>65</sup> Kopp, R. E., et al., “Probabilistic 21st and 22nd Century Sea-Level Projections at a Global Network of Tide-Gauge Sites”, *Earth’s Future*, 2, 383–406 (2014).

30 inches by 2100<sup>66</sup>, at nearly four times the rate of current sea level rise (Figure 6).<sup>67,68,69</sup> If warming triggers the rapid decay of the Antarctic ice sheet (H++ scenario), local sea level rise could be as high as 10 feet.<sup>70,71</sup>

**IV. Combining Climate Science Predictions of Sea Level Rise with Engineering Assessment of the Vulnerability of Specific Coastal Communities, Such As These Appellees, Shows That They Are Facing Unavoidable and Costly Infrastructure Damage From Flooding Due To Rising Sea Levels That Will Worsen As Sea Levels Inevitably Continue To Rise**

Each of the appellee cities and counties has both general and locally specific vulnerability to sea level rise. With the current state of climate science and engineering assessments, the extent of that vulnerability can be reliably predicted. For example, studies conducted by the Pacific Institute, the U.S. Army Corps of Engineers, and the California Department of Water Resources

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<sup>66</sup> 30 inches is a median value and has a range of 19–41 inches.

<sup>67</sup> Relative to mean sea level between 1991–2009.

<sup>68</sup> Griggs, G, et al., “Rising Seas in California: An Update on Sea-Level Rise Science”, *California Ocean Science Trust* (2017), <http://www.opc.ca.gov/webmaster/ftp/pdf/docs/rising-seas-in-california-an-update-on-sea-level-rise-science.pdf>

<sup>69</sup> Kopp, R. E., et al., “Probabilistic 21st and 22nd Century Sea-Level Projections at a Global Network of Tide-Gauge Sites”, *Earth’s Future*, 2, 383–406 (2014).

<sup>70</sup> Griggs, G, et al., “Rising Seas in California: An Update on Sea-Level Rise Science”, *California Ocean Science Trust* (2017), <http://www.opc.ca.gov/webmaster/ftp/pdf/docs/rising-seas-in-california-an-update-on-sea-level-rise-science.pdf>

<sup>71</sup> Sweet, W.V., et al., “Global and Regional Sea Level Rise Scenarios for the United States” (2017).

found that San Mateo County is one of the most vulnerable counties in California to the impacts of flooding,<sup>72</sup> with the assessed property value exposed to present-day coastal flooding exceeding \$1 billion.<sup>73,74,75</sup> If San Mateo County were to experience a 100-year coastal flood<sup>76</sup> today, the county would sustain \$3.6 billion dollars of damage to property due to flooding. With 3.3 additional feet of sea level rise (roughly the middle of the RCP 8.5 scenario) and a 100-year flood, damage due to flooding in San Mateo County increases to \$34 billion, with the inundation of: 30,000 residential parcels, 2,200 commercial parcels, 34 schools, 23 medical facilities, 3 police stations, 8 fire stations, 2 airports (including San Francisco International Airport, which would be

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<sup>72</sup> California Department of Water Resources (2013), “California Water Plan Update”, <https://water.ca.gov/Programs/California-Water-Plan/Water-Plan-Updates>

<sup>73</sup> Pacific Institute, “The Impacts of Sea Level Rise on the San Francisco Bay” (2012), <http://www.energy.ca.gov/2012publications/CEC-500-2012-014/CEC-500-2012-014.pdf>

<sup>74</sup> U.S. Army Corps of Engineers, “North Atlantic Coast Comprehensive Study Report” (2014), <http://www.nad.usace.army.mil/CompStudy/>

<sup>75</sup> County of San Mateo Sea Level Rise Vulnerability Assessment (2018), [https://seachangesmc.org/wp-content/uploads/2018/03/2018-03-12\\_SLR\\_VA\\_Report\\_2.2018\\_WEB\\_FINAL.pdf](https://seachangesmc.org/wp-content/uploads/2018/03/2018-03-12_SLR_VA_Report_2.2018_WEB_FINAL.pdf)

<sup>76</sup> A flood that has a 1% chance of occurring in any given year. In the SF Bay Area, this is equal to a 3.5 ft storm surge.

inundated by 2 feet of sea level rise), and 12 electrical substations.<sup>77</sup>

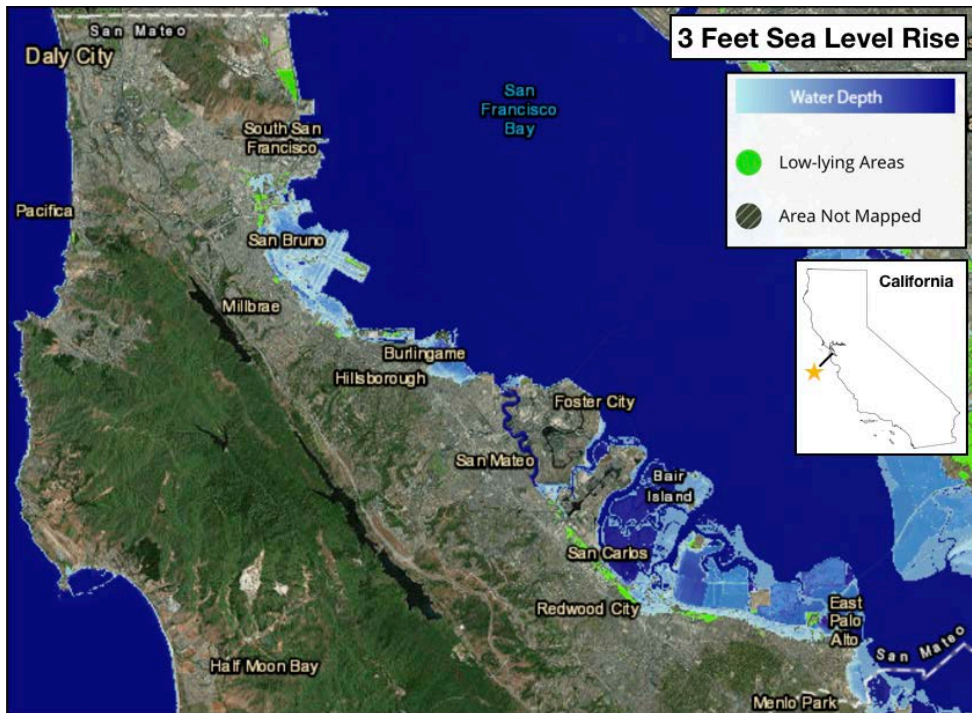
**Figure 5. One foot of sea level rise in San Mateo County, California.** Water levels are relative to Mean Higher High Water Datum (the average of the higher high-tide height for each tidal day over a designated 19 year period). Blue shading indicates areas below water level. Green shading represents areas below water level but not connected to the ocean, due to natural or built breaks such as levees. Figure source: NOAA Sea Level Rise Viewer.



<sup>77</sup> County of San Mateo Sea Level Rise Vulnerability Assessment (2018), [https://seachangesmc.org/wp-content/uploads/2018/03/2018-03-12\\_SLR\\_VA\\_Report\\_2.2018\\_WEB\\_FINAL.pdf](https://seachangesmc.org/wp-content/uploads/2018/03/2018-03-12_SLR_VA_Report_2.2018_WEB_FINAL.pdf)



**Figure 6. Three feet of sea level rise in San Mateo County, California.** Water levels are relative to Mean Higher High Water Datum (the average of the higher high-tide height for each tidal day over a designated 19 year period). Blue shading indicates areas below water level. Green shading represents areas below water level but not connected to the ocean, due to natural or built breaks such as levees. Figure source: NOAA Sea Level Rise Viewer.



In 2015, the nations of the world, including the United States, signed the Paris Climate Agreement, committing to put forward their best efforts to reduce greenhouse gas emissions in line with a goal of keeping global temperature rise to well below 2°C over pre-industrial levels (roughly equivalent to RCP 2.6). Current national plans (nationally determined contributions, NDCs) fall far short of this goal and would lead to about a 3°C



temperature increase by 2100.<sup>78</sup> In the U.S., the Trump Administration has stated its intention to withdraw from the Paris Agreement.

As a consequence, responsible local governments must prepare for the consequences of global warming at least 2°C above pre-industrial levels. For the California cities and counties in this litigation, 2°C global warming translates to a median estimate of 9 inches of sea level rise by 2050 - more than the past 100 years of sea level rise in just 30 years - and 21 inches of sea level rise by 2100.<sup>79</sup>

Even under the most ambitious emissions reductions scenario, the world's oceans will continue to rise as the climate system comes into balance with the roughly 50% increase in atmospheric CO<sub>2</sub> concentration since the dawn of the industrial revolution. Given that physical fact, and the Appellees' vulnerability to sea level rise of just one or two feet, there is no plausible emissions reduction scenario where these cities and

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<sup>78</sup> Rogelj, J., et al., "Paris Agreement Climate Proposals Need a Boost to Keep Warming Well Below 2 C", *Nature* 534(7609), 631 (2016).

<sup>79</sup> Rasmussen, D. J., et al., "Extreme Sea Level Implications of 1.5°C, 2.0°C, and 2.5°C Temperature Stabilization Targets in the 21st and 22nd Centuries", *Environmental Research Letters*, 13, 034040 (2018).

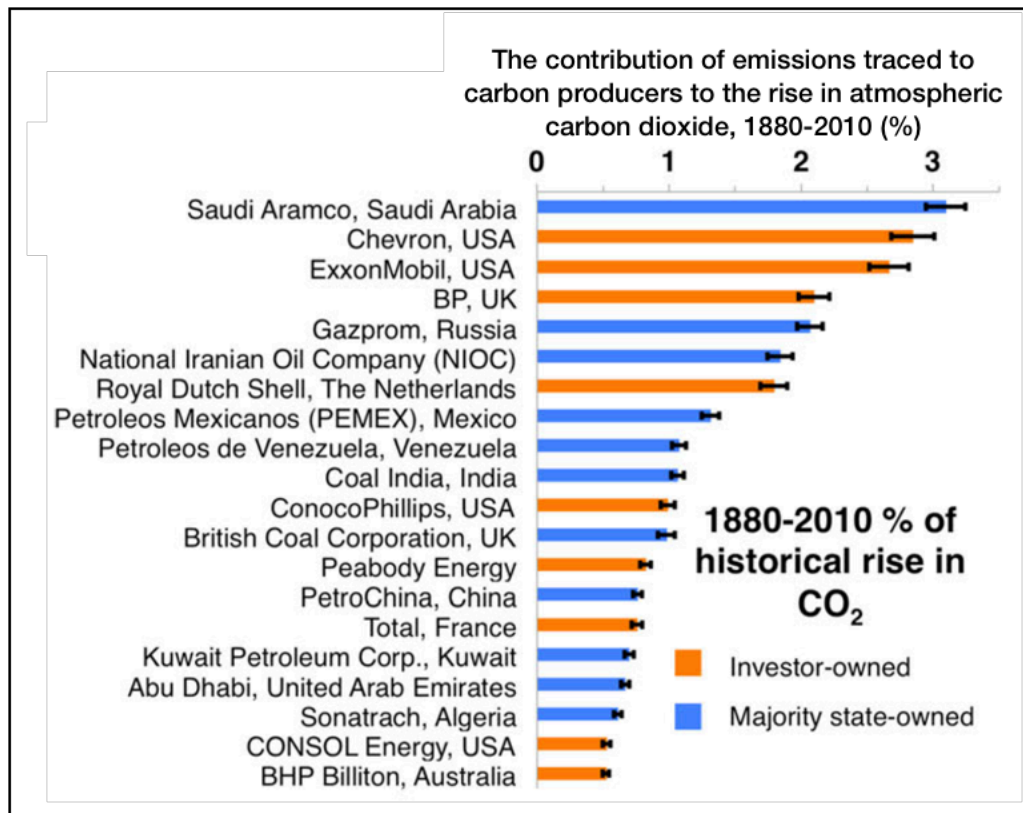
counties can avoid the substantial cost of adapting to and protecting themselves from rising seas that result primarily from the combustion of fossil fuels, including the Appellants' products.

Finally, the portion of total CO<sub>2</sub> in the atmosphere attributable to each company's fossil fuel products has been now well established. This work demonstrates that emissions caused by the products 90 major carbon producers contributed 57 (±2.9)% of the total increased atmospheric CO<sub>2</sub> from 1880 through 2010 (Figure 7).<sup>80</sup> Nearly half of that was attributable to the 20 largest entities. And nearly half of that was attributable to five of the Appellants in this case. Chevron was the 2<sup>nd</sup> largest CO<sub>2</sub> producer during that period. ExxonMobil is the 3<sup>rd</sup> largest, BP is the 4<sup>th</sup> largest, Shell ranks 7<sup>th</sup> and ConocoPhillips is 11<sup>th</sup>. This information provides a reasonable basis for allocation of the costs of adaption.

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<sup>80</sup> Ekwurzel, B, et al., "The Rise in Global Atmospheric CO<sub>2</sub>, Surface Temperature, and Sea Level from Emissions Traced to Major Carbon Producers", *Climatic Change*, 144, 579-590 (2017).

**Figure 7. Top twenty investor- & state-owned entities and attributed CO<sub>2</sub> emissions.** Emissions from these companies contributed about 27.2 ( $\pm 2.9$ )% of increase in cumulative atmospheric CO<sub>2</sub> between 1880 and 2010. Figure modified from: Ekwurzel, B., et al. (2017).



## CONCLUSION

In sum, we know that the present damage and future risk to coastal communities such as the Appellees, posed by rising sea levels, is caused in significant part by global warming. We know that the Appellant's production and marketing of fossil fuels is a significant cause of that global warming. We know what portion of CO<sub>2</sub> emissions are associated with each of their products and can

attribute some portion of sea level rise to these products. All of these matters can be proven at trial through the introduction of evidence in the form of well-established scientific facts.

We therefore urge the Court to affirm Judge Chhabria's Order remanding these cases to state court for further pretrial proceedings and trial.

January 29, 2019

Respectfully submitted,

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**CERTIFICATE OF SERVICE**

I hereby certify that I caused the foregoing to be electronically filed with the Clerk of the Court for the United States Court of Appeals for the Ninth Circuit by using the appellate CM/ECF system on January 29, 2019.

I certify that all participants in the case are registered CM/ECF users and that service will be accomplished by the appellate CM/ECF system.

/s/ William A. Rossbach  
William A. Rossbach

**CERTIFICATE OF COMPLIANCE**

Pursuant to Federal Rule of Appellate Procedure 29(a)(4)(g),  
I certify that:

This Brief complies with Rule 29(a)(5)'s type-volume limitation, because it contains 4378 words as determined by the Microsoft Word 2016 word-processing system used to prepare the brief, excluding the parts of the brief exempted by Rule 32(a)(7)(B)(iii).

This Brief complies with Rule 32(a)(5)'s typeface requirements, and Rule 32(a)(6)'s type-style requirements because it has been prepared in a proportionately spaced typeface using the 2016 version of Microsoft Word in 14-point Century Schoolbook font.

/s/ William A. Rossbach  
William A. Rossbach

# **APPENDIX A**

## Appendix A

Amicus **Mario J. Molina** received the 1995 Nobel Prize in Chemistry (with F. Sherwood Rowland and Paul Crutzen) for his “work on atmospheric chemistry, particularly concerning the formation and decomposition of ozone.” He was a co-author, with F. Sherwood Rowland, of the seminal 1974 publication in the British journal *Nature* on the threat to the ozone layer from chlorofluorocarbon (CFC) gases. He currently serves as a Professor at the University of California, San Diego (UCSD), with a joint appointment in the Department of Chemistry and Biochemistry and the Scripps Institution of Oceanography. Prior to joining UCSD he was an Institute Professor at MIT. He received a Ph.D. in Physical Chemistry from the University of California, Berkeley. He has been involved in developing our scientific understanding of the chemistry of the stratospheric ozone layer and its susceptibility to human-made perturbations. He has served on the President's Committee of Advisors in Science and Technology, and on many other advisory boards and panels. He is a member of the National Academy of Science, the Institute of Medicine, and the Pontifical Academy of Sciences. He has received numerous awards for his scientific work in addition to the 1995 Nobel Prize in Chemistry, including the Tyler Ecology and Energy Prize in 1983, the UNEP-Sasakawa Award in 1999, the Heinz Award in the Environment in 2003, and the Presidential Medal of Freedom in 2013.

Amicus **Michael Oppenheimer** is the Albert G. Milbank Professor of Geosciences and International Affairs at Princeton University. He is also the Director of the Center for Policy Research on Energy and the Environment at Princeton's Woodrow Wilson School, and the Kravis Senior Contributing Scientist at the Environmental Defense Fund. Dr. Oppenheimer received a S.B. degree from MIT in Chemistry and a Ph.D. from the University of Chicago in Chemical Physics. He is a long-time participant in the Intergovernmental Panel on Climate Change (IPCC), which won the Nobel Peace Prize in 2007. He now serves as a coordinating lead author on IPCC's Special Report on Oceans, Cryosphere and Climate Change. Dr. Oppenheimer is coeditor-in-chief of the journal *Climatic Change*. He serves on the New York City Panel on Climate Change and is a science advisor to the Environmental Defense Fund. Dr. Oppenheimer is a Heinz Award winner and a Fellow of the American Association for the Advancement of



Science. His research focuses on sea level rise, migration, and other impacts of climate change from the perspectives of science, adaptation, and risk. He joined the Princeton faculty in 2002 after more than two decades with the Environmental Defense Fund, where he served as chief scientist and manager of the Climate and Air Program. Earlier, he was an Atomic and Molecular Astrophysicist at the Harvard-Smithsonian Center for Astrophysics.

Amicus **Susanne C. Moser** is Research Faculty in the Environmental Studies Department of Antioch University New England and Director and Principal Research of Susanne Moser Research & Consulting. Dr. Moser received her first M.A. degree in Applied Physical Geography and the Earth Sciences from the University of Trier (Germany), and her second M.A. and Ph.D. in Geography from Clark University. She was a post-doctoral fellow at Harvard's Kennedy School of Government, served as Staff Scientist for Climate Change at the Union of Concerned Scientists, as a Research Scientist at the National Center for Atmospheric Research, and as a Social Science Research Fellow of Stanford University's Woods Institute for the Environment. She was named a Distinguished Adaptation Scholar at the University of Arizona and a Walton Sustainability Solutions Fellow at Arizona State University. Dr. Moser is one of the leading experts on adaptation to sea level rise. She has published more than 120 peer-reviewed journal articles, book chapters, and reports; has made hundreds of presentations before audiences of all kinds; has advised states and local communities (including in California) on how to advance coastal adaptation; and has served as an expert member on numerous international science committees, more than a dozen National Academy committees, and on the Executive Committee of the US National Advisory Committee to the Third National Climate Assessment, where she also co-lead the coastal chapter. A full catalog of her extensive expert work and scientific publications can be found in Dr. Moser's curriculum vitae, which is available online at <http://www.susannemoser.com>.

Amicus **Donald J. Wuebbles** is The Harry E. Preble Professor of Atmospheric Sciences in the School of Earth, Society, and Environment, Department of Atmospheric Sciences at the University of Illinois at Urbana-Champaign. He was awarded the distinction of University of Illinois Presidential Fellow, with the aim of helping the university system develop new initiatives in urban sustainability. Dr. Wuebbles has two degrees in Electrical Engineering from the University of Illinois (1970, 1972) and a Ph.D. in Atmospheric Sciences from the University of California, Davis (1983). He spent many years as a research scientist and group leader at the Lawrence Livermore National Laboratory before returning to the University of Illinois to be Head of the Department of Atmospheric Sciences in

1994. Within the climate science community Dr. Wuebbles is one of the leading experts in atmospheric physics and chemistry, with over 500 scientific publications related to the Earth's climate, air quality, and the stratospheric ozone layer. He has co-authored a number of national and international scientific assessments, including as a leader in the 2013 Intergovernmental Panel on Climate Change as well as the 2014, 2017, and 2018 U.S. National Climate Assessments that evaluate climate change science as required by the U.S. Congress under the 1990 Global Change Act. From 2015 to early 2017, Dr. Wuebbles was Assistant Director with the Office of Science and Technology Policy at the Executive Office of the President in Washington DC, where he was the White House expert on climate science. He is the recipient of several scientific awards; is a Fellow of three major professional science societies; has made hundreds of presentations before audiences of all kinds; and has served as an expert member of many governmental committees and boards tasked with combatting the effects of climate change. A full catalog of his extensive expert work and scientific publications can be found in Dr. Wuebble's curriculum vitae, which is available online.

Amicus **Gary Griggs** is Professor of Earth & Planetary Sciences at the University of California Santa Cruz, where he also served as Director of the Institute of Marine Sciences for 26 years. Dr. Griggs received his B.S. in Geological Sciences from the University of California Santa Barbara, and his Ph.D. in Oceanography from Oregon State University. He was appointed a Professor at the University of California Santa Cruz in 1968. Within the climate science community, he is one of the leading experts on sea level rise. He has published over 180 articles in scientific journals, book chapters, and elsewhere and has also written 11 books; has made hundreds of presentations before audiences of all kinds; and has served as an expert member of many governmental committees and boards focused on climate change and sea-level rise. He was a member of the National Academy of Sciences Committee that prepared the report: Sea-Level Rise for the Coasts of California, Oregon and Washington (2012). Most recently he chaired the committee requested by Governor Brown to investigate the effects of sea-level rise on California (2017: Rising Seas in California). A full catalog of his extensive expert work and scientific publications can be found in Dr. Griggs' curriculum vitae, which is available online at <http://www.aaa/cv.pdf>

Amicus **Peter C. Frumhoff** is Director of Science and Policy and Chief Climate Scientist at the Union of Concerned Scientists (UCS). Dr. Frumhoff received his B.A. in Psychology from the University of California at San Diego, and his M.A. in Zoology and his Ph.D. in Ecology from the University of California at Davis. He was the 2014 Cox Visiting Professor in the School of Earth Sciences at Stanford

University, taught at Harvard University and the Fletcher School of Law and Diplomacy at Tufts University, and was a Science and Diplomacy Fellow at the US Agency for International Development. A global change ecologist, Dr. Frumhoff has published at the nexus of climate science and policy including on the climate responsibilities of fossil fuel companies, the attribution of extreme events to climate change, the regional impacts of climate change, the role of forests and land use in climate mitigation, and the societal responsibilities of geoengineering researchers. He currently serves on the US National Academy of Sciences' Board on Atmospheric Sciences and Climate, and previously served on the Advisory Committee on Climate Change and Natural Resources Science at the US Department of Interior and was lead author of the Fourth Assessment Report of the Intergovernmental Panel on Climate Change. Dr. Frumhoff has made hundreds of presentations before audiences of all kinds, including providing testimony on climate science and climate policy on multiple occasions before House and Senate committees. A list of Dr. Frumhoff's recent publications is available online.

Amicus **Kristina Dahl** is a Senior Climate Scientist in the Climate and Energy program at the Union of Concerned Scientists. Dr. Dahl received her Ph.D. in Paleoclimate from the MIT/Woods Hole Oceanographic Institution Joint Program, and holds a B.A. in Earth Sciences from Boston University. Her research focuses on the impact of climate change, particularly sea level rise, on people and places, and often involves spatial analyses. Dr. Dahl has published numerous technical and non-technical articles on sea level rise. She was the lead analyst and co-lead author on UCS's *When Rising Seas Hit Home: What Coastal Communities Can Expect, and When to Expect It* and *Underwater: Rising Seas, Chronic Floods, and the Implications for US Coastal Real Estate* reports, which quantified the risks of sea level rise for communities and real estate in the contiguous United States and has performed detailed GIS analyses showing the projected extent of sea level rise and chronic flooding along the U.S. coasts. Dr. Dahl was the associate director of a school-wide climate change initiative at Rutgers University, and provided scientific guidance as a course scientist for the American Museum of Natural History's Seminars on Science program. Dr. Dahl makes frequent presentations before audiences of all kinds, and her work has been highlighted in a variety of national and local newspaper, radio, and television outlets.